# An HPSG approach to free relatives in Arabic

Abdulrahman Alqurashi 🗅

University of Essex

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#### Abstract

This paper describes free relative constructions in Modern Standard Arabic (henceforth, MSA) and aims to provide an HPSG analysis for them. MSA has two types of free relative constructions. One, which is introduced by the complementizer *?allaði*, looks just like a relative clause. The other, which is introduced by the elements *man* and *maa*, which also appear to be complementizers, does not look like a relative clause. Both types can be analysed in term of unary-branching structures (as NPs consisting just of a CP). In *?allaði* free relatives, the NP and the value of SLASH can be coindexed via the value of MOD on the CP. In *man* and *maa* free relatives, the NP and the value of SLASH must be coindexed directly.

#### **1** Introduction

There has been a limited amount discussion of free relatives within the HPSG framework. Kim (2001), Lee (2001) and Wright & Kathol (2002) have proposed an HPSG analysis for free relatives in English. Müller (1999) has discussed free relatives in German and Borsley (2008) has analyzed free relatives in Welsh. The central question in these proposals is whether the initial *wh*-phrase is treated as the head, as the filler or as both. However, to the best of knowledge, Arabic free relatives have not been discussed within HPSG framework yet. As we will see, they raise somewhat different issues from free relatives in English, German and Welsh.

In this paper, I will propose a unary-branching approach for Arabic free relatives which is somewhat like Müller's (1999) approach for German free relatives. However, the analysis developed here is different from Müller's analysis since the properties of Arabic free relatives are different from those of German free relatives and many other languages. Arabic free relatives are introduced by a complementizer and not by a *wh*-phrase, as will be discussed in Section 3. Therefore, the question of whether the initial *wh*-phrase is treated as the head, as the filler or as both does not arise here. This suggests that the analysis of free relatives will be rather different from the analysis of free relatives in English and other languages that have been discussed within the HPSG framework.

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### 2 The data

Free relatives in MSA are unbounded dependency constructions which involve both gaps and resumptive clitics and involve three different free relative markers *2allaði*, *man* and *maa*. I use the term 'free relative marker' (FRM) for these elements pending discussion of their syntactic status.

- (1) jaa?a [*llaði* faaza \_\_\_\_\_\_fi l-musabaqat-i]. came. 3.M.SG FRM.M.SG won.3.M.SG in DEF-competition-GEN 'The one that won the competition came.'
- (2) ra?aytu [*man* yuħib-**haa** Ali]. saw.1.SG FRM like.3.M.SG-3.F.SG Ali 'I saw the one (female) that Ali likes.'
- (3) hadaθaa [maa ?axšaa-hu]. happened.3.M.SG FRM fear.1.SG-3.M.SG 'The thing that I fear happened.'

There is a semantic difference between the three markers *Pallaði*, *man* and *maa*. *man* and *maa* have certain restrictions on their reference. The former is used in free relative clauses that refer to animate entities whereas the latter is used in free relative clauses that refer to inanimate entities. The following ungrammatical examples with *man* and *maa* illustrate these restrictions.

- (4) \*jaa?a [*maa* faaza \_\_\_\_\_\_ fi l-musabaqat-i]. came. 3.M.SG FRM won.3.M.SG in DEF-competition-GEN Intended: 'The thing that won the competition came.'
- (5) \*ħadaθaa [man ?axšaa-hu].
   happened.3.M.SG FRM fear.1.SG-3.M.SG
   Intended: 'The one that I fear happened.'

*Pallaði*, on the other hand, can be associated with both animate and inanimate entities and hence it can replace *man* and *maa*.

The markers *man* and *maa* are invariant but *?allaði* is inflected for number, gender and sometimes for case as the following table illustrates.

	Masculine	Feminine
Singular	<i>?allaði</i>	<i>Pallati</i>
<b>Dual-NOM</b>	Pallaðaani	Pallataani
<b>Dual-ACC/GEN</b>	?allaðayni	Pallatayni
Plural	<i>?allaðiina</i>	Pallaati-allawaati

This might suggest that *?allaði* is a kind of *wh*-pronoun. However, I will argue in Section 3 that the free relative markers *?allaði*, *man* and *maa* are complementizers and not *wh*-pronouns.

As one might expect, free relatives in SA can appear in the full set of NP positions. The following examples show that they can appear in subject position as in (6a) and (6b), in object position as in (6c), in the prepositional object position as in (6d) and in possessor position as in (6e). The following examples are given with the free relative marker *Pallaði*. Free relatives with the markers *man* and *maa* have the same distribution.

- (6) a. hadaθaa [*llaði* ?axšaa-hu].
   happened.3.M.SG FRM.M.SG fear.1.SG-3.M.SG
   'The thing that I fear happened.'
  - b. [*llaði* ?axšaa-hu.] ħadaθaa. FRM.M.SG fear.1.SG-3.M.SG happened.3.M.SG 'The thing that I fear happened.'
  - c. ra?aytu [*llatayni* yuħib**-humaa** Ali]. saw.1.SG FRM.F.DUAL.ACC like.3.M.SG-3.F.DUAL Ali 'I saw the two (female) that Ali likes.'
  - d. taħdaθtu maʕa [*llaði* taħdaθta mʕa-hu]. spoke.1.SG with FRM.M.SG spoke.2.M.SG with-3.M.SG 'I spoke with the one that you spoke with.'
  - e. ?imtalaktu qalba [*llati* ?uħib-haa]. posessed.1.SG heart FRM.F.SG love.1.SG-3.F.SG 'I possessed the heart of the one that I love.'

When the free relative is in the subject position as in (6a) and (6b), the verb of the main clause agrees with  $2alla\delta i$  in person, number and gender. In addition, when case is visible, it reflects the position of the free relative as in (6c).

The relative marker  $2alla\delta i$  and its various forms also appear in ordinary relative clauses modifying an NP. In fact, there are two types of restrictive relative clauses: restrictive relatives with a definite relativized antecedent (definite relatives) as in (7a) and restrictive relatives with an indefinite relativized antecedent (indefinite relatives) as in (7b). (see. Aoun et al., 2010; Alqurashi and Borsley, 2012). The relative marker  $2alla\delta i$  appears only in definite relatives but the markers *man* and *maa* do not as illustrated by the following examples.<sup>1</sup>

(7) a. ra?aytu l-fatat-a [*llati* ?uħib-ha].<sup>2</sup> saw.1.SG DEF-girl-ACC RM.F.SG like.1.SG-3.F.SG 'I saw the girl that I like.'

 <sup>&</sup>lt;sup>1</sup> The indefinite relatives are bare clauses modifying an indefinite antecedent in which *2allaði* does not appear. (see Alqurashi and Borsley, 2012).
 <sup>2</sup> I gloss *2allati* as 'relative marker' (RM) and not as FRM because it is used here to introduce

<sup>&</sup>lt;sup>2</sup> I gloss *?allati* as 'relative marker' (RM) and not as FRM because it is used here to introduce a restrictive relative clause, not a free relative clause.

- b. ra?aytu fatatt-an [?uħib-**ha**]. saw.1.SG girl-ACC like.1.SG-3.F.SG 'I saw a girl that I like.'
- (8) \*ra?aytu l-fatat-a [man ?uhib-ha]. saw.1.SG DEF-girl-ACC FRM.F.SG like.1.SG-3.F.SG Intended: 'I saw the girl that I like.'
- (9) \*šahadtu l-šay?-a [maa ħadaθa].
   witnessed.1.SG DEF-thing-ACC FRM happened.3.M.SG
   Intended: 'I witnessed the thing that happened.'

The feminine form *Pallati* in (7a) agrees with the antecedent *l-fatat-a* and with the clitic *ha* in number and gender. In free relatives, the relative markers *Pallaði* and its various forms, *man* and *maa* agree in number and gender with the clitic or the gap inside the relative clause. This can be identified either by the verb inside the relative clause in case where a gap is involved or by the clitic where resumption is involved.

- (10) a. qaabaltu [*?allaðiina* faaz**uu** fi l-musabaqat-i]. met.1.SG FRM.M.PL won.3M.PL in DEF-competition-GEN 'I met the ones that won the competition.'
  - b. ra?aytu [*?allaðiina* yuħib-**hum** Ali]. met.1.SG FRM.M.PL like.3.M.SG-3.M.PL Ali 'I saw the ones that Ali likes.'
  - c. ra?aytu [*man* yuħib-hum Ali]. met.1.SG FRM like.3.M.SG-3M.PL Ali 'I saw the ones that Ali likes.'
  - d. ?aSrifu [*maa* taxšaa-**huma** Hind]. know.1.SG FRM fear.3.F.SG-RP.DUAL Hind 'I know the two things that Hind fears.'

A further point that we should consider here is whether Arabic free relatives can be extraposed like in German, for example. Let us first consider the German data and then compare them with the Arabic ones.

Müller (1999) points out that free relative clauses in German, as in (11d), can be extraposed like ordinary relative clauses, as in (11a). According to Müller (1999:70), "relative clauses in German are finite clauses with the finite verb in final position if nothing is extraposed and if the verbs are in normal order" as illustrated by the example in (11a). The following examples are taken form Groos and van Riemsdijk (1981:185).

(11) a. Der Hans hat [das Geld, das er gestohlen hat], zurückgegeben.the Hans has the money that he stolen has returned'Hans has returned the money that he has stolen.'

- b. Der Hans hat [das Geld t<sub>i</sub>] zurückgegeben, [das er gestohlen hat]<sub>i</sub>. the Hans has the money returned that he stolen has
- c.\*Der Hans hat  $t_i$  zurückgegeben, [das Geld, das er gestohlen hat]<sub>i</sub>. the Hans has returned the money that he stolen has
- d. Der Hans hat t<sub>i</sub> zurückgegeben, [was er gestohlen hat]<sub>i</sub>. the Hans has returned what he stolen has 'Hans has returned what he has stolen.'

A first glance at the Arabic free relative example in (12) below might suggest that they too can be extraposed. The free relative clause in the following example appears in final position although it is understood as the subject.

(12) jaa?a ?ila l-lbayt-i [*llaði* ušbihhu came.3.M.SG to DEF-house-GEN FRM.M.SG looks like.3.M.SG ?aba-hu].
father-3.M.SG
'The one that looks like his father came to the house.'

However, Arabic relative clauses cannot be extraposed as the following example illustrates:

(13) \*jaa?a [l-walad-u] ?ila l-lbayt-i [*llaði* came.3.M.SG DEF-boy-NOM to DEF-house-GEN RM.M.SG ušbihhu ?aba-hu].
looks like.3.M.SG father-3.M.SG 'The boy that looks like his father came to the house.'

In fact, it seems that what we have in (12) is not an extraposition, but rather an example of a complex subject occupying a noncanonical position. This is supported by the fact that complex NPs containing a relative clause can appear in the same position.

(14) jaa?a ?ila l-lbayti [l-walad-u llaði came.3.M.SG to DEF-house-GEN DEF-boy-NOM RM.M.SG ušbihhu ?aba-hu].
looks like.3.M.SG father-3.M.SG 'The boy that looks like his father came to the house.'

Moreover, Arabic free relatives have the same distribution as equally complex NPs. They have certain marked word order as illustrated by the following examples:

## (15) Complex NPs in subject position:

- a. ?azsaja [kalam-u Ahmad-in] Hind-an. annoyed.3.M.SG speech-NOM Ahamd-GEN Hind-ACC
- b. ?azSaja Hind-an [kalam-u Ahmad-in]. annoyed.3.M.SG Hind-ACC speech-NOM Ahamd-GEN 'Ahmad's speech annoyed Hind.'

## (16) Free relative in Subject position:

- a. ?azSaja [*maa* qala-**hu** Ahmad-un] Hind-an. annoved.3.M.SG FRM said.3.M.SG-3.M.SG Ahamd-nom Hind-ACC
- b. ?azsaja Hind-an [*maa* qala-**hu** Ahmad-un]. annoyed.3.M.SG Hind-ACC FRM said.3.M.SG-3.M.SG Ahamd-NOM 'What Ahmad said annoyed Hind.'

### (17) Complex NPs in object position:

- a. ?aaðaa Ali-un [maša\$ir-a Hind-in] l-baariħata. hurt.PAST.3.M.SG Ali-NOM feelings-ACC Hind-GEN DEF-yesterday
- b. ?aaðaa Ali-un l-baarihata [mašasir-a Hind-in]. hurt.PAST.3.M.SG Ali-NOM DEF-yesterday feelings-ACC Hind-GEN 'Ali hurt Hind's feelings yesterday.'

### (18) Free relatives in object position:

- a. ?aaðaa Ali-un [*man* yuħibu-**ha**] l-baariħata. hurt.PAST.3.M.SG Ali-NOM FRM like.1SG-3.F.SG DEF-yesterday
- b. ?aaðaa Ali-un l-baariħata [*man* yuħibu-**ha**]. hurt.PAST.3.M.SG Ali-NOM DEF-yesterday FRM like.1SG-3.F.SG 'Ali hurt the one whom he loves.'

If restrictive relatives cannot be extraposed as shown in (13) above, it seems reasonable to assume that (12) above is an example of a complex NP in a noncanonical position, not of extraposition.

#### 3 The syntactic status of Pallaði, man and maa

I argue that the free relative markers: *?allaði, man* and *maa* are complementizers and not *wh*-pronouns.<sup>3</sup> This position is supported by the fact that these markers cannot be a part of a larger clause-initial constituent as one would expect if they were pronouns. *Wh*-interrogative pronouns, for example,

<sup>&</sup>lt;sup>3</sup> Aoun, Benmamoun and Choueiri (2010) also assume that  $2alla\delta i$  is a complementizer, but they provide no arguments for this position.

can be part of a larger clause initial phrase as the following examples illustrate.

(19) a. [PP maSa man] takallamta ? with whom talked.2.MSG
'With whom did you talk?'
b. [NP ?om man] maatat? mother whose died.3.FSG
'Whose mother died?'

In contrast, the free relative markers *2allaði, man* and *maa* behave differently from *Wh*-interrogative pronouns with respect to pied piping. The following ungrammatical examples in (20) show that *2allaði, man* and *maa* cannot be a part of a clause-initial PP. The grammatical counterparts shown in (21) have in-situ preposition with a resumptive clitic.

(20) a. *qaabaltu [PP mas <i>llaði</i> ] takallamta. met.1.SG with RM.M.SG talked.2.M.SG Intended: 'I met with whom you talked.'					
b. *qaabaltu [PP mas man] takallamta.					
met.1.SG with FRM talked.2.M.SG					
c. *aSjabani [ <sub>PP</sub> San maa] taħdaθta.					
liked.1.SG about FRM spoke.2.M.SG					
Intended: 'I liked about what you spoke.'					
(21) a. qaabaltu [ <i>llaði</i> taħdaθta maʕ- <b>hu</b> ].					
met.1.SG RM.M.SG spoke.2.M.SG with-3.M.SG					
'I met the one whom you spoke with.'					
b. qaabaltu [ <i>man</i> taħdaθta maʕ <b>-hu</b> ].					
met.1.SG FRM spoke.2.M.SG with-3.M.SG					
'I met the one whom you spoke with.'					
c. aSjabani [maa taħdaθta San-hu].					
liked.1.SG FRM spoke.2.M.SG about-3.SG					

'I liked the thing that you spoke about.'

However, the above examples in (20) do not prove much because there is an alternative interpretation for the ungrammaticality of these examples. This is that the free relative markers in (20) are *wh*-pronouns and thus the sentence is ungrammatical due to the matching effects which require the initial phrase to be whatever category is required in the position where the free relative appears (see Bresnan and Grimshaw (1978) and Gross and van Riemsdijk (1981) for discussion of the matching effects in free relatives). However, there is another way to reveal the syntactic status of these markers which is to examine whether they can be a possessor within a clause-initial NP. The following ungrammatical examples in (22) show that this is not possible. Their grammatical counterparts are shown in (23).

(22) a. *?a\$rifu [ <sub>NP</sub> ?bu <i>llati</i> maat].					
know.1.SG father FRM.F.SG died.3.M.SG					
Intended: 'I know the one whose father died.'					
b. *?a\$rifu [ <sub>NP</sub> ?bu <i>man</i> maat].					
know.1.SG father FRM. died.3.M.SG					
Intended: 'I know the one whose father died.'					
c. *hada $\theta$ aa [NP Sawaqiba <i>maa</i> ?axšaa].					
happened.3.M.SG consequences FRM fear.1.SG					
Intended: 'The thing whose consequences I fear happened.'					
(23) a. ?aSrifu [ <i>llati</i> maat ?bu- <b>ha</b> ].					
know.1.SG FRM.F.SG died.3.M.SG father-3.F.SG					
'I know the one whose father died.'					
b. ?aSrifu [ <i>man</i> maat ?bu- <b>ha</b> ].					
b. ?aSrifu [man maat ?bu-ha]. know.1.SG FRM.F.SG died.3.M.SG father- 3.F.SG					
know.1.SG FRM.F.SG died.3.M.SG father-3.F.SG					
know.1.SG FRM.F.SG died.3.M.SG father- 3.F.SG 'I know the one whose father died.'					

These examples cannot be ruled out by matching effects. Hence they show

clearly that the free relative markers cannot be part of a larger clause initial phrase.

Further evidence supporting the argument that *?allaði* is a complementizer comes from relative clauses. As noted above, *?allaði* can also appear in ordinary relative clauses modifying an NP in which *?allaði* agrees with the antecedent and with the gap in number and gender. However, when case is involved, *?allaði* bears the case of the antecedent and not that of the gap or the RP in the relativized position.

(24) a. ra?aytu l-wa	aladayni	[llaðayni			
saw.1.SG DEF-	-boy-DUAL.ACC	RM.M.DUAL.ACC			
qaabala- <b>humaa</b> l-malik-u].					
met.3.M.SGDUAL DEF-king-NOM					
'I saw the two boys whom the king met.'					
b. jaa?a l·	-waladaani	[llaðaani			
came.3.M.SG DEF-boy-DUAL.NOM RM.M.DUAL.NOM					
qaabala- <b>humaa</b> l-malik-u].					
met.3.M.SG-DUAL DEF-king-NOM					
'The two boys whom the king met came.'					

In free relatives, *?allaði* has a case determined by its position which is different from that of the position relativized as illustrated by the following examples.

- (25) a. ra?aytu [*llaðayni* qaabala-**humaa** l-malik-u]. saw.1.SG FRM.M.DUAL.ACC met.3.M.SG-.DUAL DEF-king-NOM 'I saw (the two) whom the king met.'
  - b. jaa?a [*llaðaani* qaabala-**humaa** l-malik-u]. came.3.M.SG FRM.M.DUAL.NOM met.3.M.SG-DUAL DEF-king-NOM '(The two) whom the king met came.'

In addition, *?allaði* in ordinary relatives cannot be part of a clause-initial PP as shown by the ungrammatical example in (26a).

(26) a.*r-rajul-u	[[ <sub>PP</sub> ma <sup>c</sup> l	laði] takall	amta].		
DEF-man-NO	OM with R	RM.M.SG talked	l.2.M.SG		
Intended: 'The man with that you talked.'					
b. r-rajul-u	[llaði	takallamta	maհ <b>-hu</b> ].		
DEF-man-NOM RM.M.SG talked.2.M.SG with-3.M.SG					
'The man that you talked with.'					

At this stage, we can conclude on the basis of the above discussion that *Pallaði* is a complementizer. It is natural to conclude that *man* and *maa* are complementizers too. However, it is worth considering the possibility that they are nouns.

I argue that *man* and *maa* cannot be treated as nouns for the following reasons. First, they are invariant in form and in particular that they are not inflected for Case as discussed above. Second, nouns in Arabic can be modified by adjectives. Therefore, if *man* and *maa* were nouns, we would expect them to be modified by adjectives, but the following example show that they cannot.

- (27) a. \*ra?aytu [man l-jamiilat-a yuħib-haa Ali]. saw.1.SG FRM.F.SG DEF-beautiful.ACC like.3.M.SG-3.F.SG Ali Intended: 'I saw the beautiful one (female) that Ali likes.'
  - b. \*ħadaθaa [maa l-muzSij-u ?axšaa-hu]. happened.3.M.SG FRM DEF-annoying.NOM fear.1.SG-3.M.SG Intended: 'The annoying thing that I fear happened.'

Finally, nouns don't take a bare clause as a complement, but only a clause introduced by a complementizer as in (28), whereas *man* and *maa* take a bare clause as a complement.

(28) a. ?al-ħaqiqat-u ?anna Ahmad-an yuħibu Hind-an. the-fact that Ahmad-ACC love.3.M.SG Hind-ACC 'The fact is that Ahmad loves Hind.'
b. wajadtu l-kitab-a [llaði tuħib-hu Salwa]. found.1.SG DEF-book-ACC RM. M.SG like.1.SG-3.SG Salwa

'I found the book that Salwa likes.'

The question that might arise here is whether *man* and *maa* are indefinite nouns like the antecedent in indefinite relatives which takes a bare clause as its complement. We can exclude this by arguing that the clause following *man* and *maa* cannot be a relative clause given that the latter is optional after the noun it modifies whereas the former is obligatory after *man* and *maa* as demonstrated by (29) and (30) below.

(29) \*ra?aytu [man ....] saw.1.SG FRM Intended: 'I saw the one that ...'
(30) \*hadaθaa [maa ....] happened.3.M.SG FRM Intended: 'What... happened'

Therefore, I conclude that *?allaði*, *man* and *maa* are complementizers. *man* and *maa* appear only in free relatives whereas *?allaði* appears in both ordinary relative clauses and free relatives. However, these complementizers are different from the sentential complementizers *?an* and *?anna* which introduce complement clauses as the following illustrates:

- (31) a. ?iqtarhtu ?an yušarika Ahmad-un fi l-musabaqah. suggested.1.SG that participate Ahmad-NOM in DEF-competition 'I suggested that Ahmad participate in the competition.'
  - b. qultu li-Ahmad ?anna Hind-an tuħibu-**hu**. said.1.SG to-Ahmad that Hind-ACC love. 3.F.SG-him 'I said to Ahmad that Hind loves him.'

## 4 The nature of gaps and resumptive clitics

As noted above, both gaps and resumptive clitics are used in Arabic free relatives. In this section, I will discuss the nature of gaps and resumptive clitics in Arbic free relatives. There are two approaches to resumptive clitics in the HPSG literature. The first is to assume that gaps and resumptive clitics are realizations of two separate NONLOCAL features: SLASH and RESUMP (Vaillette 2000) and the second is to assume that both gaps and resumptive clitics are realizations of SLASH (Borsley, 2010 and

Taghvaipour, 2004 and 2005). Here, there is evidence that both gaps and resumptive clitics in Arabic are analyzed as the realization of the SLASH feature. In accordance with the Coordinate Structure Constraint of Ross (1967:161), an unbounded dependency can not affect one conjunct of a coordinate structure unless it affects the other(s) as the following example illustrates.<sup>4</sup>

- (32) \*jaa?at [*llati* ?uħibu\_ wa ?aʕšaq Salwa]. came.3.F.SG that-F.SG love.1.M.SG and adore.1.M.SG Salwa Intended: '\*The one (female) that I love and adore Salwa came.'
- (33) jaa?at [*llati* ?uħibu-\_\_\_ wa ?aʕšaq-\_\_]. came.3.F.SG that-F.SG love.1.M.SG and adore.1.M.SG 'The one (female) that I love and adore came.'

However, there are certain coordinated structures in which there is a gap in the first conjunct and a resumptive clitic in the second or vice versa as illustrated in (34) and (35).

- (34) a. jaa?at [*llati* ?uħibu-\_\_\_ wa ?aʕšaqu-**ha**]. came.3.F.SG that-F.SG love.1.M.SG and adore.1.M.SG -3.F.SG 'The one (female) that I love and adore came.'
  - b. jaa?at [*llati* ?uħibu\_ wa ?aħras Salay-**ha**]. came.3.F.SG that-F.SG love.1.M.SG and care.1.M.SG about-3.F.SG 'The one (female) that I love and care about.'
- (35) a. jaa?at [*llati* ?uħibu-ha wa ?aʕšaqu-\_]. came.3.F.SG that-F.SG love.1.M.SG-3.F.SG and adore.1.M.SG 'The one (female) that I love and adore came.'
  - b. jaa?at [*llati* ?aħras Salay-**ha** wa ?uħibu\_]. came.3.F.SG that-F.SG care. 1.M.SG about-3.F.SG and love. 1.M.SG 'The girl that I love and care about.'

This suggests that gap and resumptive clitics behave in the same way with respect to the Coordinate Structure Constraint and hence both gaps and RPs in Arabic should be realizations of SLASH. This entails that we utilize the SLASH feature to handle both gaps and resumptive clitics and not two separate features: SLASH and RESUMP as in Vaillette (2000).

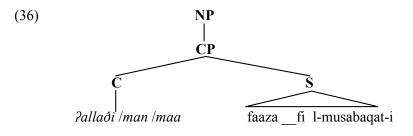
<sup>&</sup>lt;sup>4</sup> Coordination Structure Constraint:

In a coordination structure, no conjunct may be moved, nor may any element contained in a conjunct be moved out of the conjunct (Ross,1967:161)

### **5** Analysis

Since there are no previous HPSG analyses of Arabic free relatives, it is reasonable to consider how free relatives are analyized within transformational grammar. Within a framework like Minimalism, Arabic free relatives would probably be treated like restrictive relative clauses, in which the antecedent is assumed to be base-generated and there is a movement of a null operator, except for the fact that free relatives modify a null antecedent (Algurashi, in preparation).<sup>5</sup> Someone might propose similar analysis within HPSG in which free relatives are treated like restrictive relative clauses but with a phonologically empty nominal. In fact, there are various objections to such an approach. First, it is not clear how one could insure that this empty nominal constituent does not appear without a relative clause. In other words, if we allow an empty element modified by a relative clause in various positions (e.g. subject, object, etc.), it would be very difficult to prevent this empty element appearing without a relative clause in those positions. We cannot assume, on the other hand, that this empty nominal selects for a clause because it is usually the relative clause that selects the nominal constituent they modify. Second, this analysis is excluded on the assumption that it would be possible only in the case of *Pallaði*, which would appear in ordinary relative clauses modifying a nominal constituent, but not in the case of man and *maa* free relatives, which cannot introduce clauses which modify nominal heads. Our goal here is to treat the three types of free relatives as similarly as possible.

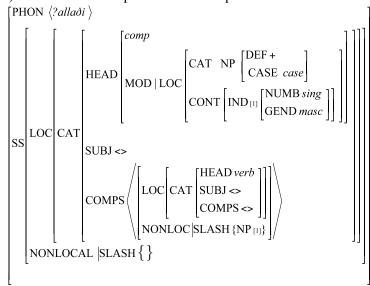
The obvious analysis within HPSG would be to assume that free relatives in Arabic are NPs which have only one daughter which is a clause.



<sup>&</sup>lt;sup>5</sup> There are few works that discuss Arabic restrictive relative clauses but not free relatives within transformational grammar such as Ouhalla (2004) and Aoun, Benmamoun and Choueri (2010). Aoun et al. (2010) dedicate a whole Chapter for Arabic restrictive relatives but they do not tackle the structure. They point out that 'this issue is a problematic one and is still under debate in the literature dealing with the topic of relativization' (p.189). Ouhalla (2004) develops an analysis of Arabic relative clauses that does not make use of promotion but shares with Kayne's (1994) analysis an antisymmetric view of phrase structure. The main features of Ouhalla's analysis are (a) the idea that relatives are DPs and (b) the idea that they originate in a prenominal position. Arabic free relatives, on the other hand, have been discussed by Fassi Fehri (1978) within transformational grammar, but he uses an old version of transformational analysis which is not assumed any more.

As mentioned above, this is somewhat like Müller's (1999) unary projection approach for German free relatives. However, the analysis developed here is different form Müller's analysis because the Arabic data shown above is quite different from German. Arabic free relatives are introduced by a complementizer and not by a *wh*-phrase and hence we should not concern with the question of whether the initial wh-phrase is treated as the head, as the filler or as both. Moreover, as noted above, Arabic free relatives cannot be extraposed unlike German free relatives.

The differences between the complementizer *Pallaði* and the complementizers man and maa, outlined above, suggest that they should be treated rather differently. Thus, we need an appropriate lexical description for each complementizer. In addition, we need some constraints to capture the distinctive properties of these two types of free relatives. Let us consider *Pallaði* free relatives first. We can assume the complementizer *Pallaði* has the lexical description in (37). The various different forms will have different values for the NUMBER and GENDER features and the CASE of the modified NP.



(37) The lexical description for the complementizer Pallaði:

This indicates that *Pallaði* takes a clausal complement which contains a gap or a resumptive pronoun and that the CP it heads modifies an NP coindexed with the SLASH value via the value of MOD. This entails that the Pallaði clause can modify an NP as is the case in ordinary relative clauses but it does not entails that it must do. The SLASH Amalgamation Constraint (Ginzburg and Sag, 2000), in (38), which a default constraint, requires a head to have by

default a non empty SLASH value if its complement has a non empty SLASH value.

(38) SLASH-Amalgamation constraint (Ginzburg and Sag, 2000:169):  

$$word \Rightarrow / \begin{bmatrix} SS|SLASH [1] \cup ... \cup [n] \\ ARG-ST\langle [SLASH[1]],...,[SLASH[n]] \end{bmatrix}$$

This means that the head *?allaði* should by default have [SLASH {NP} because its complement (i.e. the relative clause) has [SLASH {NP}] unless there is a stipulation requiring something else. However, the lexical entry in (37) above has a stipulation which ensures that *?allaði* has an empty SLASH value. This will prevent the SLASH value of the internal clause form passing any further up the tree. This makes the treatment of *?allaði* similar to that of the English adjective *easy*. This adjective, which selects an infinitival complement missing an NP (i.e. it is [SLASH {NP}] ) as in (39) below, must have an empty SLASH value which is insured by a stipulation in its lexical description.<sup>6</sup>

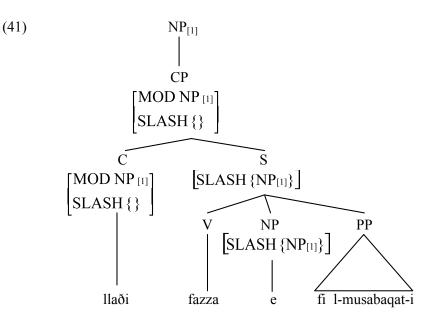
(39) Kim is easy to impress \_\_\_\_.

Now, we can assume that *?allaði* free relatives are NPs whose only daughter is a relative clause. This suggests that we need a special phrasal type for *?illi /?allaði* free relatives which is subject to the following constraint:

(40)  $2alla\delta i-free-rel \rightarrow$  $\begin{bmatrix} SS | CAT NP[CASE[1], INDEX[2]] \\ DTRS \langle CP[MOD NP[CASE[1], INDEX[2]]] \rangle \end{bmatrix}$ 

This indicates that the *2allaði* free relative clause is coindexed with the value of MOD and hence has the same number and gender and also has the same CASE as shown in (6) above. The MOD value NP distinguishes *2allaði* clauses, which can appear as relative clauses modifying certain NPs and not just as free relatives, from *man* and *maa* clauses which appear only as free relatives as noted above. *2allaði* free relatives like the one in (1) above will have the structure in (41) below (I assume with Levine and Hukari (2006) that gaps are empty categories).

<sup>&</sup>lt;sup>6</sup> See Bouma, Malouf and Sag (2001) for different approach.



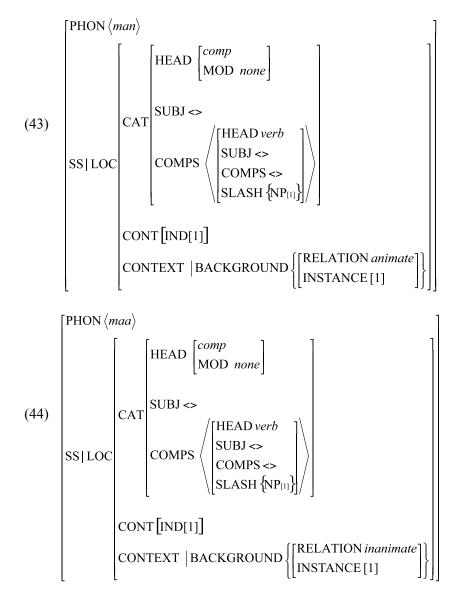
In contrast, *man* and *maa* must be specified [MOD *none*] like other complementizers heading clauses which are not modifiers. In the case of *Pallaði* free relative clauses, the dominating NP is coindexed with the value of SLASH via the value of MOD. Here, the coindexing must be ensured in some other way. It can be achieved by assuming that CPs headed by *man* and *maa* have the same value for SLASH as their complement. In other words, the complementizers *man* and *maa* should not be specified as [SLASH { }]. Free relatives with *man* and *maa* can be analysed as NPs whose only daughter is a clause but not a relative clause and they are subject to the following constraint:

(42) man-maa-free-rel 
$$\rightarrow \begin{bmatrix} SS | CAT NP[INDEX[1], SLASH \{\}] \\ DTRS \left\langle CP \begin{bmatrix} MOD none \\ SLASH \{NP[INDEX[1]]\} \end{bmatrix} \right\rangle \end{bmatrix}$$

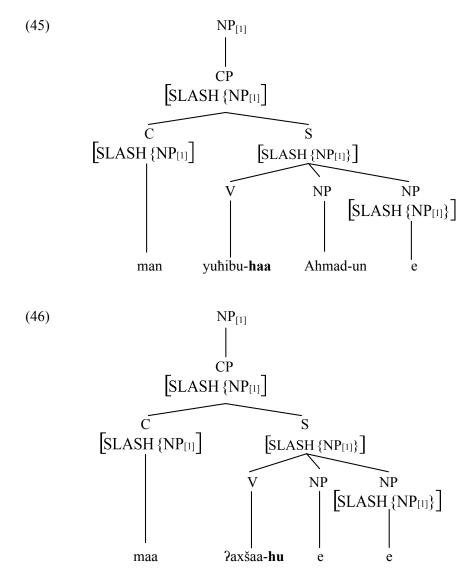
What is important about this constraint is that it ensures that the free relative is [SLASH {}]. This is not necessary in (40) above because the description for *?allaði* in (37) above ensures that the CP is [SLASH {}].

The complementizers *man* and *maa* can be assigned the lexical descriptions in (43) and (44) below. Apart from the value of PHON which distinguishes the phonology of the complementizer *man* from that of the complementizer *maa*, there is also a pragmatic difference between them. The complementizer *man* introduces a free relative referring to an animate entity whereas the complementizer *maa* introduces a free relative referring to an inanimate entity as indicated by the values of BACKGROUND. It is worth

mentioning here that these descriptions do not require man and maa to be [SLASH { }].



With these descriptions, *man* and *maa* free relatives like the ones in (2) and (3) above will have the structures given in (45) and (46) below.<sup>7</sup>



<sup>&</sup>lt;sup>7</sup> I assume that null subjects in Arabic are phonologically empty elements in the constituent structure (and not just members of ARG-ST lists with no counterpart in the constituent structure). I also assume that clitics are realized as suffixes which license an empty argument. This means that both Null subjects and null elements associated with clitics appear in ARG-ST lists, in VALENCE lists and constituent structures.

#### 6 Conclusion

This paper has investigated free relative constructions in Modern Standard Arabic and shown that they can be analyzed in terms of unary-branching structures (i.e. NPs consisting just of a CP) which avoids empty elements. In addition, it was shown that free relative constructions in MSA involve two types: *Pallaði*-free relatives and *man-maa* free relatives. *Pallaði*-free relatives look just like relative clauses in which the NP and the value of SLASH can be coindexed via the value of MOD on the CP. The other type, introduced by the complementizers *man* and *maa* does not look like a relative clause and the NP and the value of SLASH must be coindexed directly.

In this paper, I have been concerned with two types of free relatives in MSA which seems somewhat different from those in English and other languages that have been discussed within the HPSG framework. This is due to the fact that Arabic free relatives are introduced by a complementizer and not by a *wh*-phrase. However, the analysis developed here shows that they are no problem for HPSG.

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